

06-18-02

Handwritten signatures and initials, including "Jing Min" and "81653".

Please type a plus sign (+) inside this box → ☐Approved for use through 09/30/2000. PTO/SB/69 (6-98)
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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**TRANSMITTAL
FORM**

(to be used for all correspondence after initial filing)

| | |
|------------------------|------------|
| Application Number | 09/647,978 |
| Filing Date | 10/06/2000 |
| First Named Inventor | Jing Min |
| Group Art Unit | 1653 |
| Examiner Name | |
| Attorney Docket Number | 5796-01-CB |

Total Number of Pages in This Submission

ENCLOSURES (check all that apply)

| | | |
|---|---|--|
| <input type="checkbox"/> Fee Transmittal Form | <input type="checkbox"/> Assignment Papers (for an Application) | <input type="checkbox"/> After Allowance Communication to Group |
| <input type="checkbox"/> Fee Attached | <input type="checkbox"/> Drawing(s) | <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences |
| <input type="checkbox"/> Amendment / Response | <input type="checkbox"/> Licensing-related Papers | <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) |
| <input type="checkbox"/> After Final | <input type="checkbox"/> Petition Routing Slip (PTO/SB/69) and Accompanying Petition | <input type="checkbox"/> Proprietary Information |
| <input type="checkbox"/> Affidavits/declaration(s) | <input type="checkbox"/> Petition to Convert to a Provisional Application | <input type="checkbox"/> Status Letter |
| <input type="checkbox"/> Extension of Time Request | <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address | <input checked="" type="checkbox"/> Additional Enclosure(s) (please identify below): |
| <input type="checkbox"/> Express Abandonment Request | <input type="checkbox"/> Terminal Disclaimer | Copy of Notice To Comply with Requirements |
| <input type="checkbox"/> Information Disclosure Statement | <input type="checkbox"/> Small Entity Statement | Sequence Listing, Sequence Listing Certificate, Diskette |
| <input type="checkbox"/> Certified Copy of Priority Document(s) | <input type="checkbox"/> Request for Refund | Associate Power of Attorney |
| <input checked="" type="checkbox"/> Response to Missing Parts/ Incomplete Application | Remarks | |
| <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53 | | |

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JUN 24 2002

TECH CENTER 1600/2900

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

| | |
|-------------------------|-----------------------|
| Firm or Individual name | Cynthia M. Bott |
| Signature | <i>Cynthia M Bott</i> |
| Date | 6/17/02 |

CERTIFICATE OF MAILING

| | |
|--|----------------|
| I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date: 6/17/02 | |
| Typed or printed name | Beth N. Gaines |
| Signature | |
| Date | 6/17/02 |

⊕ Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

Express Mail No.: EF220793432US
USSN: 09/647,978 Filed On: 10/6/2000



Applicati n No.: 09/ 647978

**NOTICE TO COMPLY WITH REQUIREMENTS FOR PATENT APPLICATIONS CONTAINING
NUCLEOTIDE SEQUENCE AND/OR AMINO ACID SEQUENCE DISCLOSURES**

The nucleotide and/or amino acid sequence disclosure contained in this application does not comply with the requirements for such a disclosure as set forth in 37 C.F.R. 1.821 - 1.825 for the following reason(s):

- ☒ 1. This application clearly fails to comply with the requirements of 37 C.F.R. 1.821-1.825. Applicant's attention is directed to these regulations, published at 1114 OG 29, May 15, 1990 and at 55 FR 18230, May 1, 1990.
- ☐ 2. This application does not contain, as a separate part of the disclosure on paper copy, a "Sequence Listing" as required by 37 C.F.R. 1.821(c).
- ☐ 3. A copy of the "Sequence Listing" in computer readable form has not been submitted as required by 37 C.F.R. 1.821(e).
- ☐ 4. A copy of the "Sequence Listing" in computer readable form has been submitted. However, the content of the computer readable form does not comply with the requirements of 37 C.F.R. 1.822 and/or 1.823, as indicated on the attached copy of the marked -up "Raw Sequence Listing."
- ☐ 5. The computer readable form that has been filed with this application has been found to be damaged and/or unreadable as indicated on the attached CRF Diskette Problem Report. A Substitute computer readable form must be submitted as required by 37 C.F.R. 1.825(d).
- ☐ 6. The paper copy of the "Sequence Listing" is not the same as the computer readable form of the "Sequence Listing" as required by 37 C.F.R. 1.821(e).
- ☐ 7. Other: the specification and the claims do not have sequence identification numbers at each sequence as required by 37 CFR 1,821(d).

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Applicant Must Provide:

- ☒ An initial or substitute computer readable form (CRF) copy of the "Sequence Listing".
- ☒ An initial or substitute paper copy of the "Sequence Listing", as well as an amendment directing its entry into the specification.
- ☒ A statement that the content of the paper and computer readable copies are the same and, where applicable, include no new matter, as required by 37 C.F.R. 1.821(e) or 1.821(f) or 1.821(g) or 1.825(b) or 1.825(d).

For questions regarding compliance to these requirements, please contact:

For Rules Interpretation, call (703) 308-4216

For CRF Submission Help, call (703) 308-4212

For PatentIn software help, call (703) 308-6856

PLEASE RETURN A COPY OF THIS NOTICE WITH YOUR RESPONSE

Express Mail No.: EF220793432US
USSN: 09/647,978 Filed On: 10/6/2000

JUN 24 2002

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CERTIFICATE OF MAILING BY "EXPRESS MAIL" (37 CFR 1.10)

Applicant(s): Jin Min

Docket No.

5796-01-CB

Serial No.

09/647,978

Filing Date

15-Oct-2000

Examiner

Karen C. Carlson

Group Art

1653

Invention:

GENE ENCODING SYN TAXIN INTERACTING PROTEIN

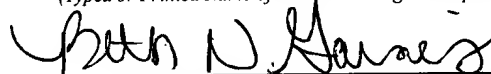
I hereby certify that this RESPONSE TO NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371
(Identify type of correspondence)

Is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under
37 CFR 1.10 in an envelope addressed to: The Assistant Commissioner for Patents, Washington, D.C. 20231

on June 17, 2002
(Date)

Beth N. Gaines

(Typed or Printed Name of Person Mailing Correspondence)



(Signature of Person Mailing Correspondence)

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USSN: 09/647,978 Filed On: 10/6/2000



5796-01-CB

IN THE UNITED STATES PATENT & TRADEMARK OFFICE

APPLICANT : Jing Min

EXAMINER : Karen C. Carlson

SERIAL NO. : 09/647,978

ART UNIT : 1653

FILED : October 6, 2000

PAPER NO : 6

FOR : GENE ENCODING SYNTAXIN INTERACTING PROTEIN

**Response To Notice To Comply With Requirements For Patent
Applications Containing Nucleotide Sequence and/or Amino Acid Sequence Disclosures**

BOX SEQUENCE
Commissioner for Patents
Washington, D.C. 20231

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JUN 24 2002

Dear Sir:

TECH CENTER 1600/2900

This paper is in response to the Notice To Comply With Requirements stating that the above-referenced application fails to comply with the requirements of 37 CFR 1.821 through 1.825.

In a phone conversation of June 13, 2002, Examiner Carlson indicated that the disk submitted with a previous response to comply, was apparently damaged.

Applicant has enclosed herewith:

- ☒ a substitute computer readable form (CRF) of the "Sequence Listing"; and
- ☒ statement that the content of the sequence listing information recorded in computer readable form is identical to the written sequence listing and that this submission does not include new matter.
- ☒ sequence listing
- ☒ copy of notice to comply with requirements
- ☒ return postcard

Respectfully submitted,

Dated: 6/17/02

Cynthia M Bott

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USSN: 09/647,978 Filed On: 10/6/2000